

## General Assembly

## Raised Bill No. 1153

January Session, 2007

LCO No. 4047

\*04047\_\_\_\_KID\*

Referred to Committee on Select Committee on Children

Introduced by: (KID)

## AN ACT CONCERNING CONFIDENTIALITY OF AND ACCESS TO RECORDS MAINTAINED BY THE DEPARTMENT OF CHILDREN AND FAMILIES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 17a-28 of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (*Effective October 1, 2007*):
- 3 (a) As used in this section:
- 4 (1) "Person" means (A) any individual named in a record,
- 5 maintained by the department, who (i) is presently or at any prior time
- 6 was a ward of or committed to the commissioner for any reason; (ii)
- 7 otherwise received services, voluntarily or involuntarily, from the
- 8 department; or (iii) is presently or was at any prior time the subject of
- 9 an investigation by the department; (B) [the] a parent, whose parental
- 10 rights have not been terminated, or current guardian of a person, as
- 11 defined in subparagraph (A) of this subdivision, if such person is a
- minor; [or] (C) the authorized representative of a person, as defined in
- 13 subparagraph (A) of this subdivision, if such person is deceased;
- 14 (2) "Attorney" means the licensed attorney authorized to assert the

- 15 confidentiality of or right of access to records of a person;
- 16 (3) "Authorized representative" means a parent, guardian, guardian ad litem, attorney, conservator or other individual authorized to assert
- 18 the confidentiality of, or right of access to, records of a person;
- 19 (4) "Consent" means permission given in writing by a person, [his] 20 the person's attorney or [his] the person's authorized representative to 21 disclose specified information, within a limited time period, regarding
- 22 the person to specifically identified individuals or entities;
  - (5) "Records" means information created or obtained in connection with the department's child protection activities or <u>other</u> activities related to a child while in the care or custody of the department, including information in the registry of reports to be maintained by the commissioner pursuant to section 17a-101k; [provided records which are not created by the department are not subject to disclosure, except as provided pursuant to subsection (f), (l) or (n) of this section;]
- 30 (6) "Disclose" means (A) to provide an oral summary of records 31 maintained by the department to an individual, agency, corporation or 32 organization, or (B) to allow an individual, agency, corporation or 33 organization to review or obtain copies of such records in whole, part 34 or summary form;
- 35 (7) "Near fatality" means an act, as certified by a physician, that places a child in serious or critical condition.
  - (b) Notwithstanding the provisions of section 1-210, 1-211 or 1-213, records maintained by the department shall be confidential and [shall not be disclosed. Such records of any person] may only be disclosed, in whole or in part, to any individual, agency, corporation or organization with the consent of the person or as provided in this section. Any unauthorized disclosure shall be punishable by a fine of not more than one thousand dollars or imprisonment for not more than one year, or both.

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- [(c) When information concerning an incident of abuse or neglect has been made public or when the commissioner reasonably believes publication of such information is likely, the commissioner or the commissioner's designee may disclose, with respect to an investigation of such abuse or neglect: (1) Whether the department has received a report in accordance with sections 17a-101a to 17a-101c, inclusive, or section 17a-103, and (2) in general terms, any action taken by the department, provided (A) the names or other individually identifiable information of the minor victim or other family member is not disclosed, and (B) the name or other individually identifiable information of the person suspected to be responsible for the abuse or neglect is not disclosed unless the person has been arrested for a crime due to such abuse or neglect.
- (d) The commissioner shall make available to the public, without the consent of the person, information in general terms or findings concerning an incident of abuse or neglect which resulted in a child fatality or near fatality of a child, provided disclosure of such information or findings does not jeopardize a pending investigation.]
- (c) Notwithstanding any provision of the general statutes, records that (1) contain privileged communications, or (2) are confidential pursuant to any federal law or regulation shall not be disclosed except as authorized by law.
- (d) Any information disclosed from a person's record shall not be disclosed further without the written consent of the person, except if disclosed pursuant to an order of a court of competent jurisdiction.
- (e) The commissioner shall, upon written request, disclose the following information concerning agencies licensed by the Department of Children and Families, except foster care parents, relatives of the child who are certified to provide foster care or prospective adoptive families: (1) The name of the licensee; (2) the date the original license was issued; (3) the current status of the license; (4) whether an agency investigation or review is pending or has been completed; and (5) any

licensing action taken by the department at any time during the period such license was issued and the reason for such action, provided disclosure of such information will not jeopardize a pending investigation.

[(f) The commissioner or the commissioner's designee shall, upon request, promptly provide copies of records, without the consent of a person, to (1) a law enforcement agency, (2) the Chief State's Attorney or the Chief State's Attorney's designee or a state's attorney for the judicial district in which the child resides or in which the alleged abuse or neglect occurred or the state's attorney's designee, for purposes of investigating or prosecuting an allegation of child abuse or neglect, (3) the attorney appointed to represent a child in any court in litigation affecting the best interests of the child, (4) a guardian ad litem appointed to represent a child in any court in litigation affecting the best interests of the child, (5) the Department of Public Health, which licenses any person to care for children for the purposes of determining suitability of such person for licensure, subject to the provisions of sections 17a-101g and 17a-101k, (6) any state agency which licenses such person to educate or care for children pursuant to section 10-145b or 17a-101j, subject to the provisions of sections 17a-101g and 17a-101k concerning nondisclosure of findings of responsibility for abuse and neglect, (7) the Governor, when requested in writing, in the course of the Governor's official functions or the Legislative Program Review and Investigations Committee, the committee of the General Assembly on judiciary and the committee of the General Assembly having cognizance of matters involving children when requested in the course of such committees' official functions in writing, and upon a majority vote of said committee, provided no names or other identifying information shall be disclosed unless it is essential to the legislative or gubernatorial purpose, (8) a local or regional board of education, provided the records are limited to educational records created or obtained by the state or Connecticut-Unified School District #2, established pursuant to section 17a-37, (9) a party in a custody proceeding under section 17a-112 or 46b-129, in the

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Superior Court where such records concern a child who is the subject 112 of the proceeding or the parent of such child, and (10) to the Chief 113 Child Protection Attorney, or his or her designee, for purposes of 114 ensuring competent representation by the attorneys who the Chief Child Protection Attorney contracts with to provide legal and guardian ad litem services to the subjects of said records and to ensure accurate 117 payments for services rendered by said contract attorneys. A 118 disclosure under this section shall be made of any part of a record, 119 whether or not created by the department, provided no confidential 120 record of the Superior Court shall be disclosed other than the petition and any affidavits filed therewith in the superior court for juvenile 122 matters, except upon an order of a judge of the Superior Court for 123 good cause shown. The commissioner shall also disclose the name of 124 any individual who cooperates with an investigation of a report of 125 child abuse or neglect to such law enforcement agency or state's 126 attorney for purposes of investigating or prosecuting an allegation of 127 child abuse or neglect. The commissioner or the commissioner's 128 designee shall, upon request, subject to the provisions of sections 17a-129 101g and 17a-101k, promptly provide copies of records, without the 130 consent of the person, to (A) the Department of Public Health for the purpose of determining the suitability of a person to care for children 132 in a facility licensed under sections 19a-77 to 19a-80, inclusive, 19a-82 133 to 19a-87, inclusive, and 19a-87b, and (B) the Department of Social 134 Services for determining the suitability of a person for any payment 135 from the department for providing child care.

- (g) When the commissioner or his designee determines it to be in a person's best interest, the commissioner or his designee may disclose records, whether or not created by the department and not otherwise privileged or confidential communications under state or federal law, without the consent of a person to:
- 141 (1) Multidisciplinary teams which are formed to assist the 142 department in investigation, evaluation or treatment of child abuse 143 and neglect cases or a multidisciplinary provider of professional

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- treatment services under contract with the department for a child referred to the provider;
- 146 (2) Any agency in another state which is responsible for 147 investigating or protecting against child abuse or neglect for the 148 purpose of investigating a child abuse case;
- (3) An individual, including a physician, authorized pursuant to section 17a-101f to place a child in protective custody if such individual has before him a child whom he reasonably suspects may be a victim of abuse or neglect and such individual requires the information in a record in order to determine whether to place the child in protective custody;
- (4) An individual or public or private agency responsible for a person's care or custody and authorized by the department to diagnose, care for, treat or supervise a child who is the subject of a record of child abuse or neglect or a public or private agency responsible for a person's education for a purpose related to the individual's or agency's responsibilities;
- 161 (5) The Attorney General or any assistant attorney general providing legal counsel for the department;
  - (6) Individuals or public or private agencies engaged in medical, psychological or psychiatric diagnosis or treatment of a person perpetrating the abuse or who is unwilling or unable to protect the child from abuse or neglect when the commissioner or his designee determines that the disclosure is needed to accomplish the objectives of diagnosis or treatment;
- (7) A person who reports child abuse pursuant to sections 17a-101a to 17a-101c, inclusive, and section 17a-103, who made a report of abuse involving the subject child, provided the information disclosed is limited to (A) the status of the investigation and (B) in general terms, any action taken by the department;

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- (8) An individual conducting bona fide research, provided no information identifying the subjects of records shall be disclosed unless (A) such information is essential to the purpose of the research; (B) each person identified in a record or his authorized representative has authorized such disclosure in writing; and (C) the department has given written approval;
- 180 (9) The Auditors of Public Accounts or their representative, 181 provided no information identifying the subjects of the records shall be 182 disclosed unless such information is essential to an audit conducted 183 pursuant to section 2-90;
- 184 (10) The Department of Social Services, provided the information 185 disclosed is necessary to promote the health, safety and welfare of the 186 child;
- 187 (11) A judge of the Superior Court for purposes of determining the 188 appropriate disposition of a child convicted as delinquent or a child 189 who is a member of a family with service needs; and
- 190 (12) The superintendents, or their designees, of state-operated 191 facilities within the department.
  - (h) The commissioner or his designee may disclose the name, address and fees for services to a person, to individuals or agencies involved in the collection of fees for such services, except as provided in section 17b-225. In cases where a dispute arises over such fees or claims or where additional information is needed to substantiate the fee or claim, such disclosure of further information shall be limited to the following: (1) That the person was in fact committed to or otherwise served by the department; (2) dates and duration of service; and (3) a general description of the service, which shall include evidence that a service or treatment plan exists and has been carried out and evidence to substantiate the necessity for admission and length of stay in any institution or facility.

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- (i) Notwithstanding the provisions of subsections (f) and (l) of this section, the name of an individual reporting child abuse or neglect shall not be disclosed without his written consent except to (1) an employee of the department responsible for child protective services or the abuse registry; (2) a law enforcement officer; (3) an appropriate state's attorney; (4) an appropriate assistant attorney general; (5) a judge of the Superior Court and all necessary parties in a court proceeding pursuant to section 46b-129, or a criminal prosecution involving child abuse or neglect; or (6) a state child care licensing agency, executive director of any institution, school or facility or superintendent of schools pursuant to section 17a-101i.
- (j) Notwithstanding the provisions of subsection (g) of this section, the name of any individual who cooperates with an investigation of a report of child abuse or neglect shall be kept confidential upon request or upon determination by the department that disclosure of such information may be detrimental to the safety or interests of the individual, except the name of any such individual shall be disclosed to the persons listed in subsection (i) of this section.
- (k) Notwithstanding the confidentiality provisions of this section, the commissioner, upon request of an employee, shall disclose such records to such employee or his authorized representative which would be applicable and necessary for the purposes of an employee disciplinary hearing or appeal from a decision after such hearing.
- (l) Information disclosed from a person's record shall not be disclosed further without the written consent of the person, except if disclosed to a party or his counsel pursuant to an order of a court in which a criminal prosecution or an abuse, neglect, commitment or termination proceeding against the party is pending. A state's attorney shall disclose to the defendant or his counsel in a criminal prosecution, without the necessity of a court order, exculpatory information and material contained in such record and may disclose, without a court order, information and material contained in such record which could

be the subject of a disclosure order. All written records disclosed to another individual or agency shall bear a stamp requiring confidentiality in accordance with the provisions of this section. Such material shall not be disclosed to anyone without written consent of the person or as provided by this section. A copy of the consent form specifying to whom and for what specific use the record is disclosed or a statement setting forth any other statutory authorization for disclosure and the limitations imposed thereon shall accompany such record. In cases where the disclosure is made orally, the individual disclosing the information shall inform the recipient that such information is governed by the provisions of this section.

(m) In addition to the right of access provided in section 1-210, any person, regardless of age, his authorized representative or attorney shall have the right of access to any records made, maintained or kept on file by the department, whether or not such records are required by any law or by any rule or regulation, when those records pertain to or contain information or materials concerning the person seeking access thereto, including but not limited to records concerning investigations, reports, or medical, psychological or psychiatric examinations of the person seeking access thereto, provided that (1) information identifying an individual who reported abuse or neglect of a person, including any tape recording of an oral report pursuant to section 17a-103, shall not be released unless, upon application to the Superior Court by such person and served on the Commissioner of Children and Families, a judge determines, after in camera inspection of relevant records and a hearing, that there is reasonable cause to believe the reporter knowingly made a false report or that other interests of justice require such release; and (2) if the commissioner determines that it would be contrary to the best interests of the person or his authorized representative or attorney to review the records, he may refuse access by issuing to such person or representative or attorney a written statement setting forth the reasons for such refusal, and advise the person, his authorized representative or attorney of the right to seek judicial relief. When any person, attorney or authorized

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representative, having obtained access to any record, believes there are factually inaccurate entries or materials contained therein, he shall have the unqualified right to add a statement to the record setting forth what he believes to be an accurate statement of those facts, and said statement shall become a permanent part of said record.

- (n) (1) Any person, attorney or authorized representative aggrieved by a violation of subsection (b), (f), (g), (h), (i), (j) or (l) of this section or of subsection (m) of this section, except subdivision (2) of said subsection (m), may seek judicial relief in the same manner as provided in section 52-146j; (2) any person, attorney or authorized representative denied access to records by the commissioner under subdivision (2) of subsection (m) of this section may petition the superior court for the venue district provided in section 46b-142 in which the person resides for an order requiring the commissioner to permit access to those records, and the court after hearing, and an in camera review of the records in question, shall issue such an order unless it determines that to permit such access would be contrary to the best interests of the person or authorized representative.
- (o) The commissioner shall promulgate regulations pursuant to chapter 54, within one year of October 1, 1996, to establish procedures for access to and disclosure of records consistent with the provisions of this section.]
- (f) The name of any individual who reports suspected abuse or neglect of a child or youth or cooperates with an investigation of child abuse or neglect shall be kept confidential upon request or upon determination by the department that disclosure of such information may be detrimental to the safety or interests of the individual, except the name of any such individual shall be disclosed (1) to (A) an employee of the department for reasons reasonably related to the business of the department, (B) a law enforcement officer for purposes of investigating abuse or neglect of a child or youth, (C) a state's attorney for purposes of investigating or prosecuting abuse or neglect

- 302 of a child or youth, (D) an assistant attorney general or other legal 303 counsel representing the department, (E) a judge of the Superior Court 304 and all necessary parties in a court proceeding pursuant to section 17a-305 112 or 46b-129, or a criminal prosecution involving child abuse or neglect, (F) a state child care licensing agency, or (G) the executive 306 director of any institution, school or facility or superintendent of 307 308 schools pursuant to section 17a-101i, and (2) in accordance with the 309 provisions of subparagraph (B) of subdivision (1) of subsection (g) of 310 this section.
- 311 (g) The department, subject to subsection (c) of this section, shall 312 disclose records, without the consent of the person who is subject of 313 the record, to:
- (1) Any person who is named in the record or the person's 314 315 authorized representative, provided (A) such person shall only have 316 access to information about such person or such person's biological or 317 adoptive minor children and provided such person's parental rights to 318 said children have not been terminated; and (B) information 319 identifying an individual who reported abuse or neglect of a person, 320 including any tape recording of an oral report pursuant to section 17a-321 103, shall not be disclosed unless, upon application to the Superior 322 Court by such person and served on the Commissioner of Children 323 and Families, a judge determines after a hearing and an in camera 324 review of relevant records, that there is reasonable cause to believe the 325 reporter knowingly made a false report or that other interests of justice 326 require such disclosure;
- 327 (2) Any employee of the department for any purpose reasonably related to the business of the department;
- 329 (3) Any guardian ad litem or attorney appointed to represent a child 330 or youth in any court in litigation affecting the best interests of the 331 child or youth;
- 332 (4) Any employee or former employee of the department or such

333	employee or former employee's authorized representative for purposes		
334	of participating in litigation in any court or in any administrative or		
335	disciplinary hearing or other proceeding or appeal from decision after		
336	such hearing, provided such disclosure shall be limited to those		
337	records that are applicable and necessary for the purpose of such		
338	hearing or appeal as determined by the department;		
339	(5) The Attorney General, any assistant attorney general or any		
340	other legal counsel retained to represent the department during the		
341	course of a legal proceeding involving the department or an individual		
342	employee of the department;		
343	(6) The Child Advocate;		
344	(7) The Chief State's Attorney or a designee for purposes of		
345	investigating or prosecuting an allegation of child abuse or neglect;		
346	(8) Any state or federal law enforcement officer for purposes of		
347	investigating an allegation of child abuse or neglect;		
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348	(9) Multidisciplinary teams pursuant to the provisions of section		
349	<u>17a-106a;</u>		
350	(10) Any provider of professional services for a child or youth or		
351	parent referred to the provider, provided disclosure is limited to such		
352	information necessary to provide services to the child or youth or		
353	parent;		
354	(11) Any individual or agency under contract with the department		
355	for the purpose of identifying and assessing potential foster or		
356	prospective adoptive homes for a child or youth who is the subject of		
357	the record, provided no information that identifies a biological parent		
358	of a child or youth may be disclosed further without the permission of		
359	such biological parent;		
360	(12) Any foster or prospective adoptive parent, if the records pertain		

to a child or youth currently placed with the foster or prospective

- adoptive parent, or a child or youth being considered for placement 362 363 with the foster or prospective adoptive parent and the records relate to 364 the social, medical, psychological or educational needs of the child or 365 youth, provided no information identifying a biological parent may be disclosed without the permission of such biological parent: 366
- 367 (13) The Governor, when requested in writing, in the course of the Governor's official functions or the Legislative Program Review and 368 369 Investigations Committee, the joint standing committees of the General 370 Assembly having cognizance of matters relating to human services and 371 the judiciary and the select committee of the General Assembly having 372 cognizance of matters relating to children, when requested in writing, 373 in the course of such committees' official functions, and upon a 374 majority vote of said committees, provided no names or other 375 identifying information shall be disclosed unless the disclosure is 376 essential to the gubernatorial or legislative purpose;
- 377 (14) The Department of Public Health, subject to the provisions of section 17a-101g and section 17a-101k, for the purpose of (A) 378 379 determining the suitability of a person to care for children in a facility 380 licensed pursuant to section 19a-77, section 19a-80 or section 19a-87b, 381 or (B) determining suitability of such person for licensure;
- 382 (15) The Department of Social Services, subject to the provisions of 383 section 17a-101g and section 17a-101k for purposes of (A) determining 384 the suitability of a person for any payment from the Department of Social Services for providing child care, or (B) promoting the health, 385 386 safety and welfare of the child or youth;
- 387 (16) Any state agency that licenses or certifies a person to educate or care for children or youth, subject to the provisions of section 17a-101g 388 and section 17a-101k concerning nondisclosure of findings of 389 390 responsibility for abuse and neglect;
- 391 (17) Any individual, including a physician, authorized pursuant to 392 section 17a-101f to place a child or youth in protective custody if such

- 393 <u>individual has before him or her a child or youth whom the individual</u>
- 394 <u>reasonably suspects may be a victim of abuse or neglect and such</u>
- 395 <u>individual requires the information in a record in order to determine</u>
- 396 whether to place the child or youth in protective custody;
- 397 (18) Any individual who reports child abuse pursuant to sections
- 398 <u>17a-101a to 17a-101c</u>, inclusive, or section 17a-103, who made a report
- of abuse involving the subject child or youth, provided the information
- disclosed is limited to (A) the status of the investigation, and (B) in
- 401 general terms, any action taken by the department;
- 402 (19) Any employee of the Board of Pardons and Paroles, the
- 403 Department of Correction or the Judicial Department for the purpose
- 404 of assessing treatment needs and determining terms or conditions of
- 405 pretrial release, pretrial or postdisposition detention or incarceration,
- 406 probation or parole;
- 407 (20) Any judge of the Superior Court or Probate Court and any
- 408 necessary party in a custody proceeding where such records concern
- 409 the child or youth who is the subject of the proceeding or the parent of
- 410 such child or youth;
- 411 (21) Any judge of the Superior Court (A) for purposes of
- 412 determining the appropriate disposition of a child convicted as
- delinquent or a child who is a member of a family with service needs;
- or (B) in a criminal prosecution, for purposes of an in camera review if
- 415 (i) the court has ordered that the record be provided to the court, or (ii)
- a party to the proceeding has issued a subpoena for the record;
- 417 (22) Any individual or public or private agency engaged in medical,
- 418 psychological or psychiatric diagnosis, or treatment of a person who
- 419 has perpetrated abuse or neglect or who is unwilling or unable to
- 420 protect the child or youth from abuse or neglect when the
- 421 commissioner, or the commissioner's designee, determines that the
- 422 disclosure is necessary to accomplish the objectives of diagnosis or
- 423 treatment;

- 425 recognized Indian tribe which is responsible for investigating or
- 426 protecting against child abuse or neglect or providing services to
- 427 families at risk of abuse or neglect, for the purpose of investigating or
- 428 protecting against abuse or neglect or providing services to such
- 429 family;
- (24) Any individual conducting bona fide research, provided no 430
- 431 information identifying the subject of the record shall be disclosed
- 432 unless (A) such information is essential to the purpose of the research,
- 433 and (B) the department has given written approval;
- 434 (25) The Auditors of Public Accounts or their representative,
- 435 provided no information identifying the subject of the record shall be
- disclosed unless such information is essential to an audit conducted 436
- 437 pursuant to section 2-90;
- 438 (26) Any individual or agency involved in the collection of fees for
- 439 services, provided such information is limited to the name and address
- 440 of the person who received the services and the fees for services,
- 441 except as provided in section 17b-225. In cases where a dispute arises
- 442 over such fees or claims or where additional information is needed to
- substantiate the fee or claim, such disclosure of further information 443
- shall be limited to the following: (A) That the person was in fact 444
- 445 provided services by the department; (B) dates and duration of service;
- 446 and (C) a general description of the service, which shall include 447 evidence that a service or treatment plan exists and has been carried
- out and evidence to substantiate the necessity for admission and 448
- 449 length of stay in any institution or facility; and
- 450 (27) Any local or regional board of education, provided the records
- 451 are limited to educational records created or obtained by the state or
- 452 Connecticut-Unified School District #2, established pursuant to section
- 453 17a-37.
- 454 (h) The department, subject to subsection (c) of this section, may

- disclose records without the consent of the person who is the subject of
- 456 the record, to:
- 457 (1) Any law enforcement officer or state's attorney if there is
- 458 reasonable cause to believe that a child or youth is being abused or
- 459 neglected, or at risk of being abused or neglected as a result of any
- 460 <u>suspected criminal activity by any person;</u>
- 461 (2) Any individual interviewed as part of an investigation
- 462 conducted pursuant to section 17a-101g who is not otherwise entitled
- 463 to such information, provided such information shall be limited to: (A)
- The general nature of the allegations contained in the reports; (B) the
- identity of the child or youth alleged to have been abused or neglected;
- 466 (C) the identity of the alleged perpetrator; and (D) information
- 467 necessary to further the course of the investigation;
- 468 (3) School employees who (A) are mental health professionals, as
- 469 defined in section 10-76t, or (B) have direct responsibility for
- 470 <u>implementing the educational program of the child or youth receiving</u>
- 471 services from the department, provided such disclosure is limited to
- 472 <u>information reasonably necessary to provide educational services to</u>
- 473 the child or youth;
- 474 (4) Any individual, when information concerning an incident of
- abuse or neglect has been made public or the commissioner reasonably
- 476 believes publication of such information is likely, provided such
- 477 <u>disclosure is limited to: (A) Whether the department has received a</u>
- 478 report in accordance with sections 17a-101a to 17a-101c, inclusive, or
- 479 section 17a-103; (B) in general terms, any action taken by the
- department, provided: (i) Names or other individually identifiable
- 481 <u>information of the minor victim or other family members is not</u>
- 482 <u>disclosed, regardless of whether such individually identifiable</u>
- 483 <u>information is otherwise available, and (ii) the name or other</u>
- 484 <u>individually identifiable information of the person suspected to be</u>
- responsible for the abuse or neglect is not disclosed unless such person
- 486 has been arrested for a crime due to such abuse or neglect; (C)

- 487 <u>confirmation or denial of the accuracy of information that has been</u>
- 488 made public; and (D) in general terms, the current legal status of the
- 489 case;
- 490 (5) Any individual for the purposes of locating a missing parent,
- 491 child or youth, provided such disclosure is limited to information that
- 492 <u>assists in locating such missing parent, child or youth;</u>
- 493 (6) Any individual, when the information or findings concern an
- 494 incident of abuse or neglect which resulted in a child or youth fatality
- 495 or near fatality of a child or youth, provided disclosure of such
- 496 <u>information or findings is in general terms and does not jeopardize a</u>
- 497 <u>pending investigation;</u>
- 498 (7) Any court of competent jurisdiction whenever an employee of
- 499 the department is subpoenaed and ordered to testify about such
- 500 records; and
- 501 (8) Individuals not employed by the department who arrange,
- 502 perform or assist in performing functions or activities on behalf of the
- department, including, but not limited to, data analysis, processing or
- 504 <u>administration</u>, <u>utilization review</u>, <u>quality assurance</u>, <u>practice</u>
- 505 management, consultation, data aggregation and accreditation
- 506 services.
- 507 (i) Notwithstanding the provisions of subsections (e) to (h),
- inclusive, of this section, the department may refuse to disclose records
- 509 to any individual, provided the department gives such individual
- 510 notice (1) that records are being withheld, (2) of the general nature of
- 511 the records being withheld, (3) of the department's reason for refusing
- 512 to disclose the records, and (4) of the individual's right to judicial
- 513 relief.
- 514 (j) Any person or the person's authorized representative (1)
- aggrieved by a violation of subsection (b), (d), (f) to (h), inclusive, or (l)
- of this section may seek judicial relief in the manner prescribed in

517 section 52-146j, or (2) denied access to records by the department 518 under subsection (i) of this section, may petition the superior court for 519 juvenile matters for the venue district provided in section 46b-142 in which the person resides, for an order requiring the commissioner to 520 permit access to the records, and the court, after a hearing and an in 522 camera review of the records in question, shall issue such order unless 523 the court determines that to permit such disclosure of all or any 524 portion of the record (A) would be contrary to the best interests of the 525 person, the person's authorized representative or the person who is the 526 subject of the record, (B) could reasonably result in the risk of harm to 527 any person, or (C) would contravene the public policy of this state.

(k) Any party to a civil proceeding may petition the superior court for juvenile matters for the venue district provided in section 46b-142 in which the party resides for an order authorizing disclosure of the record of another party to the civil proceeding, provided the court, after an in camera review, finds the records are material and relevant to those proceedings and that good cause exists to disclose the records. Good cause may include, but is not limited to, the fact that the party seeking the record has no other available means of obtaining the information sought.

(l) Each written record disclosed to another individual or agency shall bear a stamp requiring confidentiality in accordance with this section. Such material shall not be disclosed without the written consent of the person or as provided by this section. A copy of the consent form specifying to whom and for what specific use the record is disclosed or a statement setting forth any other statutory authorization for disclosure and the limitations imposed on disclosure shall accompany the record. In cases where the disclosure is made orally, the individual disclosing the information shall inform the recipient that the information is governed by the provisions of this section.

(m) Whenever any person, attorney or authorized representative,

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having obtained access to any record, believes there are factually inaccurate entries or materials contained in the record, such person shall have the unqualified right to add a statement to the record setting forth what such person believes to be an accurate statement of those facts, and the statement shall become a permanent part of the record.

This act shall take effect as follows and shall amend the following sections:				
Section 1	October 1, 2007	17a-28		

## Statement of Purpose:

To revise and update laws relating to the confidentiality of, and access to, records maintained by the Department of Children and Families.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]